

Attorney Docket: 1574/49884

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

Applicant: KRISTIINA YLIHONKO ET AL.

AUG 1 5 2001

Serial No.: 09/830,994

Group Art Unit:

TECH CENTER 1600/2900

Filed: MAY 3, 2001

Examiner:

Title: THE GENE CLUSTER INVOLVED IN ACLACINOMYCIN

BIOSYNTHESIS, AND ITS USE FOR GENETIC ENGINEERING

INFORMATION DISCLOSURE STATEMENT <u>UNDER 37 CFR §§ 1.97 and 1.98</u>

Commissioner for Patents Washington, D.C.

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

In addition copies of references AF, AM, and AN from the Information Disclosure Statement submitted May 3, 2001, are enclosed. It is noted that on the Notification of Acceptance of this application, the box indicating that the references cited in the International Search Report was received was not checked.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.



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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. \$1.97(d)(2) and that the petition fee set forth in 37 C.F.R. \$1.17(i) in accordance with 37 C.F.R. \$1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket 1574/49884).

August 14, 2001

Respectfully submitted,

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Atty. Docket No. Serial No. Form PTO-1449 1574/49884 U.S. Department of Commerce 09/830,994 Patent & Trademark Office Applicants Kristiina YLIHONKO, et al. INFORMATION DISCLOSURE STATEMENT Filing Date Group (Use several sheets if necessary) May 3, 2001 U.S. PATENT DOCUMENTS Examiner Document Date Name Class Sub-Filing Date Initial Number (if appropriate) Class AA 5,843,735 12/1998 LEE AΒ AC ADΑE AF AG AΗ ΑI ΑJ FOREIGN PATENT DOCUMENTS Sub-Translation Document Date Country Class class Yes | No ΑK ALΑM ΑN ΑO ΑP OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) ΑQ AR EXAMINER DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.